

VandeRuyt
Wealth Management Group
Ethical Investing Questionnaire



Client Name(s):	
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Socially Responsible Investing (SRI) and Ethical Investing

Ethical investing starts with your ideas and principles- what you believe to be important. Views vary from person to person, as do the approach of most “ethical” funds. Some funds have very strict criteria and will screen out any companies who do not meet these, whereas other funds have less strict criteria and may select the best company from a group, even if it does not meet all the set criteria. Some funds are Socially Responsible, some Ethical, some Sustainable and some Climate Change.

Socially Responsible Investments (Positive Screens)

Some funds actively seek to support companies investing in Socially Responsible activities. Please indicate which of these are of interest:	Strongly Support	Support If Possible	Of No Interest
Alternative Energy (Excluding Nuclear)			
Environmental Protection			
Ethical Employment Practices			
Healthcare, Healthy Lifestyle, Benefit to Society			
Development and Supply of Educational Products & Services			
Development of Social Housing			
Environmental Management & Reporting			
Human Rights & Labour Policies			
Pollution Control			
Sustainable Timber			
Water			
Recycling			



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Ethical Criteria (Negative Screens)

Some funds apply screens to avoid investing in unethical areas. The strictness of these screens can vary. For example, a fund that states that it avoids tobacco will avoid investing in Tobacco companies but may (when it comes to investing in supermarkets where Tobacco products are sold) flout this! A fund with a strict view will avoid investing, but a fund with a more balanced approach may invest as it is not a core business area

	Strict Avoidance	Balanced Approach	Of No Interest
Alcohol -Production & Retailing			
Animal Testing -Medical Products, Drugs or Cosmetics, Chemicals etc.			
Animals -Factory Farming			
Banks -Financing of Environmentally & Socially Controversial Projects			
Environmental Damage -Companies with Poor Records on Waste or Pollution			
Gambling -Retail Issues & Services			
Genetic Modification -Firms Involved in Genetic Research			
Human Rights Abuse -Avoiding Companies who do not treat Employee Fairly			
Nuclear Power -Production & Sales			
Oppressive Regimes -Companies who have dealings with Oppressive Regimes			
Pornography -Production & Sales			
Tobacco -Production & Sales			

Other Concerns

If you have any other specific criteria, please use the space below to note this.

